

COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/023,335 | 12/17/2001 | Eva Redei | 053662-5002-01 |

028977
MORGAN, LEWIS & BOCKIUS LLP
1701 MARKET STREET
PHILADELPHIA, PA 19103-2921

CONFIRMATION NO. 4164

FORMALITIES LETTER



OC000000007375716

Date Mailed: 01/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/22/2002 MGBREM1 00000096 500310 10023335

01 FC:205

65.00 CH

Received

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, ON THE DATE INDICATED BELOW:

DATE: March 13, 2002

**PATENT
BOX MISSING PARTS**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Patent Application of
Eva Redei, *et al.* : Group Art Unit: 1632
: Examiner: Not Yet Assigned
Appln. No.: 10/023,335
Filed: December 17, 2001
Title: COMPOSITIONS AND METHODS : Attorney Docket No. 53662-5002-01
USEFUL FOR TREATMENT OF
DEPRESSIVE DISORDER BASED ON
AN ANIMAL MODEL

**TRANSMITTAL OF MISSING PARTS
OF APPLICATION - FILING DATE GRANTED**

In response to the Notice to File Missing Parts dated January 28, 2002, the following is submitted herewith:

- ☒ Copy of the Notice to File Missing Parts of Application - Filing Date Granted, mailed January 28, 2002.
- ☐ No Notice to File Missing Parts has been received.
- ☒ One (1) Declaration and Power of Attorney.
- ☐ Verified English translation of application as filed.
- ☐ Priority document(s): Certified copy(ies) of _____ patent application No(s). _____, filed in _____ on _____ from which priority is claimed under 35 U.S.C. §119.
- ☐ Formal drawings: _____ sheets.
- ☐ Information Disclosure Statement, Form PTO-1449, and cited references.
- ☐ Preliminary Amendment.
- ☐ Nucleotide and/or Amino Acid Sequence Submission, including:
☐ Computer-readable copy ☐ Paper Copy ☐ Verified Statement
- ☐ Petition for a Four (4) Month Extension of Time and requisite fee.
- ☐ Request for Refund.
- ☒ Request for Corrected Filing Receipt. Attached is a marked-up copy of the Filing Receipt showing corrections.
- ☐ Copy of previously filed Revocation and Appointment of Attorney by Assignee

- ☐ Copy of Notice of Recordation of Assignment Document
- ☐ Notice of Change of Address
- ☒ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☒ a Non-Profit Organization.
- ☒ The following fees noted below are being paid:
- ☒ Surcharge of \$65.00 for late filing of filing fee and/or Declaration and Power of Attorney.
- ☐ Surcharge of \$65.00 for late filing of English translation.
- ☐ Surcharge of \$720.00 for a Four Month Extension of Time.
- ☐ Enclosed is our firm's check in the amount of \$_____ for the above fee.
- ☒ The Commissioner is hereby authorized to charge and/or credit Deposit Account No. 50-0310 (billing code 53662-5002-01) as noted below. A duplicate copy of this authorization is being submitted herewith for accounting purposes.
- ☒ The above-calculated fee(s) totaling \$65.00.
- ☒ Any overpayments or deficiencies in the above-calculated fee(s).
- ☒ Any additional fees required under 37 C.F.R. §1.16 or §1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. §1.136(a) to our Deposit Account noted above.

Respectfully submitted,

EVA REDEI, ET AL.

March 13, 2002
Date

By: *Raquel M. Alvarez*
RAQUEL M. ALVAREZ, Ph.D., J.D.
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/dp

Enclosures (copy of Notice to File Missing Parts; executed Declaration; Request for a Corrected Filing Receipt)

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON THE DATE INDICATED BELOW.

BY Eva Rede DATE March 13, 2002 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of
Eva Rede, et al.

: Group Art Unit: 1632

: Examiner: Not Yet Assigned

Appln. No.: 10/023,335

Filed: December 17, 2001

Title: COMPOSITIONS AND METHODS
USEFUL FOR TREATMENT OF
DEPRESSIVE DISORDER BASED ON
AN ANIMAL MODEL

: Attorney Docket No. 53662-5002-01

COPY OF PAPERS
ORIGINALLY FILED

REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the original Filing Receipt for the above-identified patent application.

In the Applicants' section, please correct the spelling of the second inventor's name as follows:

delete Frasjer and change to -- Fraser --

Please make this correction and provide us with a Corrected Filing Receipt.

Respectfully submitted,

EVA REDEI, ET AL.

March 13, 2002
Date

By: Raquel M. Alvarez
RAQUEL M. ALVAREZ, Ph.D., J.D.
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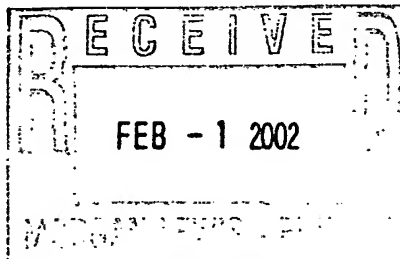
/dp
Enclosures (marked-up copy of original filing receipt)



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WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|--------------------|----------|------------|------------|
| 10/023,335 | 12/17/2001 | 1632 | 1135 | 053662- 5002-01 | 12 | 63 | 12 |

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PHILADELPHIA, PA 19103-2921

CONFIRMATION NO. 4164

FILING RECEIPT



OC000000007377885

Date Mailed: 01/28/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Fraser
Eva Redei, Chicago, IL;
Fraser Aird, Oak Park, IL;
Leah Solberg, Chicago, IL;
Claire Will, Chicago, IL;

Assignment For Published Patent Application

Northwestern University;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/590,837 06/09/2000
AND CLAIMS BENEFIT OF 60/105,459 10/23/1998

Foreign Applications

PCT/US99/17513 08/03/1999

If Required, Foreign Filing License Granted 01/28/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **